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# UML State Diagram Extraction from Natural Language based Requirements with Linguistic Analysis Mechanism

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# Abstract

The current software projects perform development from natural language-based requirement definition. The requirement analysis phase, where most errors occur, necessitates precise analysis. It is crucial to have a systematic requirement definition and analysis process to address software errors as a whole[1]. As software projects grow in scale and complexity, considerable effort is required to develop high-quality software through requirement analysis. To address these challenges, this paper proposes the analysis of natural language-based requirements and extracting object state designs. In other words, it identifies objects and states to extract state diagrams from natural language requirements using linguistic principles from linguists Chomsky and Fillmore. Through the proposed mechanism, the aim is to automate the analysis of natural language-based requirements. Additionally, these state diagrams are intended to be applied to the state transitions of objects within the Cartoon.

Keywords: Requirement, State Diagram, Fillmore's Semantic Role, Chomsky's Syntactic Structure

# 1. Introduction

The primary cause of software failures lies in the requirement phase of the software development process. 66% of actual software errors stem from system specifications[1]. This is due to the differences in interpretation between customers and developers, as requirements are composed in natural language. Moreover, accommodating ongoing informal customer requirements is challenging and comes with associated costs. To address these issues, previous research has analyzed requirements using linguistics-based natural language processing[2]. Building on this research, our study proposes a mechanism to analyze requirements according to the software lifecycle and extract state diagrams. Through this, we anticipate an improvement in customer and developer understanding of requirements, as well as the ability to identify and analyze requirements systematically.

# 2. Related Research

## 2.1 Mapping Design Thinking Mechanism with Software Development Process

This study combines software development process techniques and design thinking mechanisms to propose a code template[3]. It defines requirements specifications to extract use cases and, in turn, derive the design. Through this process, systematic software development becomes possible.

In this research, we skip the mapping process between requirements and use cases and directly extract state diagrams from requirements.

# 2.2 Fillmore's Case Grammar

Fillmore's Case Grammar is a linguistic theory that focuses on the relationship between nouns and verbs in

sentences[4]. This theory examines the combination of semantic roles based on verbs to analyze syntax. Table 1 defines the roles of noun phrases related to verbs.

| Case          | Definition   |
|---------------|--|
| Agent         | A person or entity causing a verb's action to be performed.          |
| Counter agent | The force or resistance against which a verb's action is carried.    |
| Object        | An entity affected directly by a transitive verb's action.           |
| Experiencer   | A person or thing affected by a verb's action, replacing the dative. |
| Source        | The place from which something moves.                                |
| Goal          | The place to which something moves.                                  |
| Locative      | Location or spatial orientation of the state or action.              |
| Instrument    | The inanimate entity is causally involved in a verb's action.        |
| Time          | The time of the event.   |

#### Table 1. Fillmore's Case Grammar

In studies that apply such linguistic theories to software engineering, Case is redefined, and requirements sentences are analyzed to extract use cases[2]. Building upon this, our research applies Fillmore's theory to analyze natural language-based requirements.

# 3. The method proposed in this study

### 3.1 Requirements analysis and status extraction process

In this study, we analyze natural language requirements and extract state diagrams for objects.

The process is as follows:

1. Using the Stanford Parser, natural language requirements were analyzed to identify part-of-speech tags at the word level following Chomsky's Syntactic Structure approach[5].

2. With the identified part-of-speech tags, we extract the relationships between nouns and verbs through Fillmore's linguistics. The conventional use of Fillmore's Case Grammar has limitations when analyzing object states. Therefore, we augment it by incorporating Fillmore's Semantic Roles and redefining the elements necessary for state diagrams, as shown in Table 2.

| Role [Notation] | Definition  |
|-----------------|---|
| Agent [A]       | The entity that performs an action.                       |
| Patient [P]     | The entity that undergoes an action or change of state.   |
| Theme [T]       | The entity that is focused upon or affected by an action. |
| Experiencer [E] | The entity that perceives or feels.                       |
| Cause [C]       | The entity that causes an action or event.                |
| Recipient [R]   | The entity that receives something.                       |
| Instrument [I]  | The entity used to perform an action.                     |
| Location [L]    | The place where an action occurs.                         |
| Goal [G]        | The endpoint of a movement.                               |
| Source [S]      | The starting point of a movement.                         |

Table 2. Fillmore's Case Grammar Redefinition

3. Using the extracted relationship information, we identify objects by recognizing the subject of the sentence as the object and analyzing it as the Agent.

4. We extract state diagrams by considering the action information of the identified objects, the states before and after the action, and any associated conditions. Table 3 presents the necessary object information for extracting state diagrams.

| Category   | Description   |
|------------|---|
| State      | All states for an object                                  |
| Event      | Events that change the state of an object                 |
| Transition | Transitioning the state of an object for a specific event |
| Condition  | Conditions that must be met for transition                |
| Action     | Action performed by the object after transition           |

Table 3. Main parameters

## **3.2 Application Example**

A computer power system was applied to the mechanism proposed in this study. The entire process of extracting state diagrams is depicted in Figure 1.



Figure 1. The process of extracting state diagrams from requirements

In Figure 1, we analyzed the sentence from requirement R4 of the system. Complex sentences were broken down into simple ones, and each simple sentence was further divided into clauses, with part-of-speech tags identified at the word level for each clause. Role notations were appended alongside the parts of speech, which were then used to extract the state diagram.

# 4. Result

In this study, we propose a mechanism for analyzing natural language-based requirements through Fillmore's linguistic theory and representing them as designs. By employing the proposed mechanism, communication between customers and developers will be significantly enhanced through the extracted designs. Additionally, we anticipate that systematic mechanisms will greatly facilitate the identification and management of informal requirements.

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